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Bib Data Sheet

CONFIRMATION NO. 3747

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| SERIAL NUMBER 09/537,372 | FILING DATE 03/24/2000 RULE | CLASS 705 | GROUP ART UNIT 3628 | ATTORNEY DOCKET NO. 2902/0G377 | | | | | | | | | | | | | | | |
| <p>APPLICANTS</p> <p>LLoyd A. Groveman, Old Westbury, NY;</p> <p>Richard L. Zack, Summit, NJ;</p> <p>** CONTINUING DATA <i>None M</i></p> <p>** FOREIGN APPLICATIONS <i>None M</i></p> <p>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 05/28/2000</p> <table border="1"> <tr> <td>Foreign Priority claimed 35 USC 119 (a-d) conditions met</td> <td><input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance</td> <td>STATE OR COUNTRY NY</td> <td>SHEETS DRAWING 4</td> <td>TOTAL CLAIMS 32</td> <td>INDEPENDENT CLAIMS 2</td> </tr> <tr> <td>Verified and Acknowledged Examiner's Signature</td> <td><i>Initials</i></td> <td></td> <td></td> <td></td> <td></td> </tr> </table> <p>ADDRESS Darby & Darby P C 805 Third Avenue New York , NY 10022</p> <p>TITLE Active account management using volatility arbitrage</p> <table border="1"> <tr> <td>FILING FEE RECEIVED 453</td> <td>FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:</td> <td> <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit </td> </tr> </table> | | | | | Foreign Priority claimed 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance | STATE OR COUNTRY NY | SHEETS DRAWING 4 | TOTAL CLAIMS 32 | INDEPENDENT CLAIMS 2 | Verified and Acknowledged Examiner's Signature | <i>Initials</i> | | | | | FILING FEE RECEIVED 453 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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